



## BEFORE THE ARIZONA CORPORATION COMMISSION RECEIVED

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COMMISSIONERS 2015 JUN - 4 F

SUSAN BITTER SMITH, Chairman BOB STUMP BOB BURNS DOUG LITTLE

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In the matter of

KENT MAERKI and NORMA JEAN
COFFIN aka NORMA JEAN MAERKI, aka)
NORMA JEAN MAULE, husband and
wife,

DENTAL SUPPORT PLUS FRANCHISE, LLC, an Arizona limited liability company

Respondents.

2015 JUN -4 P 3: 20

AZ CORP COMMISSIÓN DOCKET CONTROL



DOCKET NO. S-20897A-13-0391

SECURITIES DIVISION'S RESPONSE TO RESPONDENTS' REQUEST FOR DATE CHANGES TO PRODUCE BY JUNE 1, 2015 COMPLETE WITNESS AND EXHIBIT LISTS, AND MY POSITION ON MY RELATIONSHIP TO THE OFFERING DESCRIBED IN THE COMMISSION'S NOTICE OF OPPORTUNITY FOR HEARING

On June 1, 2015, in the afternoon, Respondent Maerki filed Respondents' filed a Request For Date Changes To Produce By June 1, 2015 Complete Witness And Exhibit Lists, And My Position On My Relationship To The Offering Described In The Commission's Notice Of Opportunity For Hearing ("Request") on behalf of he and his wife. This Request should be denied.

Respondent Maerki states in his Request that he is "representing Norma J. Coffin, my innocent wife." Pursuant to Arizona Supreme Court Rules, an individual is prohibited from representing another in an administrative proceeding. *See* 17A A.R.S. Sup.Ct.Rules, Rule 31. Respondent Maerki prohibited from representing Norma J. Coffin.

In the Thirteenth Procedural Order, issued on March 17, 2015, ALJ Stern ordered the parties to exchange their witness list and exhibits no later than June 1, 2015. In addition, the parties were ordered to simultaneously exchange memoranda on the franchise issue and the relationship to the offering described in the Notice.

In his Request, Respondent Maerki asserts that he did not have knowledge of Ms. Mirch's withdrawal until May 28, 2015. This statement is contrary to the documents filed in

this case. Ms. Mirch's Motion to Withdraw avowed that her clients were informed of her intention to withdraw and provided, in writing, the upcoming deadlines and Orders. For Respondent Maerki to come forward and claim that he had no knowledge of the deadlines is just wrong. If Respondent Maerki is correct, then Ms. Mirch misrepresented the situation to ALJ Stern and the granting of her Motion should be reconsidered. The Securities Division does not have any evidence to suggest that Ms. Mirch's representations are inaccurate.

Respondent Maerki indicates that he needs an extension until June 23, 25 or 26 to comply with requirements of Thirteenth Procedural Order that was issued on March 17, 2015. This is just another delay tactic.

The fact that Respondents need to produce a witness list and exchange exhibits should not be a surprise. In fact, this is the third deadline set by ALJ Stern ordering the parties to produce witness lists and exchange exhibits. See Third Procedural Order, Tenth Procedural Order and Thirteenth Procedural Order. On April 14, 2014, Respondent Maerki provided a witness list and copies of exhibits. Again on January 5, 2015, Ms. Mirch, on behalf of Respondents, provided a witness list and copies of exhibits to the Securities Division. It should not be difficult to update the witness list and provide any additional exhibits.

The Respondents and counsel have known since March 17, 2015, that a memorandum was due June 1, 2015. The parties had plenty of time to meet the June 1, 2015, deadline.

For the reasons outlined above, Respondent Maerki's Request should be denied.

RESPECTFULLY SUBMITTED this 4<sup>th</sup> day of June, 2015.

Wendy Coy, Staff Attorney for the Securities Division

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1 2	SERVICE LIST FOR: KENT MAERKI and NORMA JEAN COFFIN aka NORMA JEAN MAERKI, aka NORMA JEAN MAULE, husband and wife, DENTAL SUPPORT PLUS FRANCHISE, LLC
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4	ORIGINAL and 9 copies of the foregoing filed this 4 <sup>th</sup> day of June, 2015, with:  Docket Control Arizona Corporation Commission 1200 W. Washington St. Phoenix, AZ 85007
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8	COPY of the foregoing hand-delivered this 4 <sup>th</sup> day of June, 2015, to:
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10	The Honorable Marc E. Stern Administrative Law Judge Arizona Corporation Commission 1200 W. Washington St. Phoenix, AZ 85007
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13	COPY of the foregoing mailed this 4 <sup>th</sup> day of June, 2015, to:  Mark D. Chester 8777 N. Gainey Center Drive, Suite 191 Scottsdale, Arizona 85258-2106 Attorneys for Respondents  Kent Maerki 10632 N. Scottsdale Road, Suite B479 Scottsdale, AZ 85254
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